

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

MISCELLANEOUS CASE INFORMATION SHEET

MISC. 22 3687

<u>PLAINTIFF:</u> Adam Kaplan	<u>DEFENDANT:</u> United States Securities and Exchange Commission.
<u>IN THE MATTER OF:</u> IHT Wealth Management, LLC, C-8834	
<u>CAUSE OF ACTION:</u> Motion for Order Pursuant to Customer Challenge Provisions of the Right to Financial Privacy Act of 1978.	
<u>RELIEF SOUGHT:</u> Quash.	
<u>ATTORNEY FOR PLAINTIFF:</u> Vincent J. Ancona, Esq. Ancona Associates 200 Old Country Rd. Mineola, NY 11501 (516) 739-1803	<u>ATTORNEY FOR DEFENDANT:</u> Melinda Hardy, Esq. Associate General Counsel Securities Exchange Commission 100 F. St., N.E. Washington, D.C. 20549

I am currently a member in good standing of the bar of this Court: YES NO

Signature of Attorney of Record: _____ Date: _____